

Advisory Notice

Clearing House

07-211

TO: Back Office Managers
Systems Managers

FROM: Clearing House Department

SUBJECT: **Pre-FIA Disaster Recovery Test --
Saturday, September 29th and Saturday, October 13th, 2007**

DATE: September 7, 2007

On Saturday, October 27, 2007, CME will participate in the FIA sponsored industry-wide disaster recovery test, which will include other U.S. futures exchanges, clearinghouses, FCMs, and back office bookkeeping software providers. All CME and CBOT member firms are strongly encouraged to participate in this very crucial test. In order to monitor participation and progress, firms are asked to register with the FIA ASAP. To register online go to the FIA website:

<http://www.futuresindustry.org/disaster/dr-test-registration.asp>

In preparation for this important test, CME has scheduled two preliminary tests for member firms on Saturday, September 29th and Saturday, October 13th, 2007 from 9:00 a.m. to 12:00 p.m. (CDT). The purpose of this preliminary testing is to ensure that firms are able to connect from their DR sites to CME's Remote Data Center.

Preliminary Ping Testing Components

CME will stage and make changes to its firewall to enable firms to reach the addresses at the RDC. There will be two components to this testing:

- **FTP Access:** Firms must make the required network changes to enable access to the RDC FTP server address. Firms must also log on to the FTP server. Firms are not required to send/receive data to/from the FTP server for this test. However, we will provide a Settlement Price file for any firms wishing to test server access and loading of data.

NOTE: Firms may execute this portion of the test on their own without scheduling time with CME staff. Upon successful completion of this stage of the test, firms should notify the Clearing House and schedule the next stage of the test which is MQ testing.

- **MQ Ping:** CME and firm staff must complete an MQ ping. No real MQ messages will be sent since we are only testing access to RDC MQ queues and do not want firm messages to be sent to the RDC mainframe in error. Firms should make certain that there are NO messages in your outbound (TRADIN) queues before you begin your test. If messages are sent to the RDC, they cannot be retrieved by CME and moved to Chicago Data Center MQ queues; although, firms can certainly resend them.

Network Requirements

Your existing network configuration will remain intact. The only change required for connectivity to the RDC is the addition of a route statement.

Important: for a complete list of the CME RDC IP addresses and port numbers, please contact CME Clearing House at (312) 207-2525.

Testing Contacts

We ask that you contact the CME Clearing Services at (312) 207-2525 or ccs@cmegroup.com to confirm your firm's participation. If you have any questions concerning the test or if you encounter any problems during testing please do not hesitate to contact us.